# FERROELECTRICS

# Fabrication of epitaxial nanostructured ferroelectrics and investigation of their domain structures

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Abstract Nanostructured ferroelectrics are important objects for studies on ferroelectric size effects as well as for applications to memory devices with ultra-high memory density. In the present article, we introduce several approaches for the synthesis of confined ferroelectrics with sizes in and below the hundreds of nanometer range, including top-down processes like e-beam lithography, self-assembly methods like chemical solution deposition, and growth by pulsed laser deposition using stencil masks. Furthermore, the ferroelectric domain structure of part of these nanostructures is investigated by means of synchrotron X-ray diffraction, and its contribution to the ferroelectric properties is discussed.

# Introduction

Ferroelectric materials have been and are still investigated intensively due to their wide range of electronic device applications making use of the exceptional physical properties, for example, dynamic random access memories (DRAMs), nonvolatile ferroelectric random access

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M. Alexe · D. Hesse Max Planck Institute of Microstructure Physics, Weinberg 2, Halle 06120, Germany memories (NV-FERAMs) based on ferroelectric materials with high permittivity and polarization, respectively [1-3]. Requirements for the increase of data storage density and the decrease of production costs have driven the miniaturization of ferroelectrics as small as they can preserve the ferroelectricity [4–8]. For example, in order to achieve a memory density of 1 Tb/inch<sup>2</sup>, one has to realize ferroelectric bits with sizes of less than  $25 \times 25$  nm<sup>2</sup>. Accordingly, intense efforts for the fabrication of nanostructured ferroelectrics have been contributed by many researchers to understand the ferroelectric size effects in low-dimensional nanostructures, in which ferroelectricity could be altered or even totally vanish at a certain critical size. The fabrication of lowdimensional ferroelectrics and their characterization, for example, ultra-thin films [9–14], nanotubes [15, 16], and nanowires [17–19], have well developed during last decades. Promising theoretical predictions as well as many interesting experimental observations of ferroelectricity by means of scanning force microscopy and synchrotron X-ray diffraction have been reported [9, 11, 20, 21]. These observations confirmed that ferroelectricity could be preserved in films of very low thickness due to the reduction of the depolarization energy by forming nanoscale 180° stripe domain patterns on insulating substrates or due to the presence of conducting metallic electrodes, resulting in a stable polarization state at a thickness of several unit cells. In a theoretical work it was reported that the interface between an ultra-thin ferroelectric thin film and a paraelectric substrate could affect the ferroelectric properties due to correlations of atomic displacements through the interface [22]. In real systems, however, structural defects such as interfacial dislocations [23, 24] or imperfect screening by conducting electrodes [10] may affect the ferroelectric properties. Overall one can say that the fundamental limit of thickness in ferroelectric films has been overcome.

Contrary to the thickness limit of ferroelectricity, the size effect resulting from a lateral size limit, i.e., the question how small a ferroelectric nanostructure can become without loosing the ferroelectric properties, is still unveiled due to difficulties in the fabrication of uniform and reproducible ferroelectric nanostructures of very small sizes. There were several theoretical studies on the size effect showing that ferroelectric and piezoelectric properties could be altered due to the increased influence of the surfaces and to reduced substrate constraints [6, 25–27]. Recently, experimental [28–31] and theoretical [32] observations suggested that confined ferroelectrics could have unique properties. Nagarajan et al. [28] showed that the ferroelectric and piezoelectric properties were increased in discrete ferroelectric films owing to a reduced substrate clamping effect. Lee et al. prepared PZT patterns with morphotropic phase boundary (MPB) composition utilizing the strain relaxation in ferroelectric islands [29]. Bühlmann et al. [30] explained that the increase of piezoresponse in nanoislands originated from a reduced share of *a*-domains. Naumov et al. [32] found by ab initio studies that the ferroelectrics could have toroidal polarization states in zero-dimensional ferroelectric particles with a size of 3.2 nm, which would principally enable a memory density of 60 Tb/inch<sup>2</sup>.

Various experimental approaches to obtain nanostructured ferroelectrics with small size, good ordering, and high quality have been recently developed, including so called top-down [33–37] and bottom-up methods [38–42]. Top-down methods, for example, e-beam direct writing (EBDW), e-beam patterning, focused ion-beam (FIB) milling, and nano imprint lithography are useful approaches in view of precise size control and ordering of nanofeatures. However, the large-scale operation of these methods is expensive, time-consuming and above all, the ferroelectric properties are frequently affected by mechanical damage or e-beam or ion-beam damage of the surface-near areas of the nanostructures [34, 43]. On the other hand, bottom-up methods such as metal-organic vapor deposition (MOCVD), chemical solution deposition (CSD), and hydrothermal methods may enable the preparation of even smaller ferroelectric nanostructures and to study intrinsic size effects. Roelofs et al. [41] showed that no piezoresponse was detected in PbTiO<sub>3</sub> nanograins with sizes below 20 nm, which can be the size limit where ferroelectricity can still exist. Shimizu et al. observed that PbTiO<sub>3</sub> nanoislands with 38 nm lateral size still show a piezoresponse when studied by atomic force microscopy [44]. Although bottom-up methods permit the investigation of extremely miniaturized regions of ferroelectrics, they have other limitations. Not only are there difficulties in precisely locating each bit (each nanostructure) in a wellordered array, but also in controlling the size of the latter exactly. For taking advantages of both top-down and bottom-up approaches, selective growth using various mask or seed patterns is being investigated, for example, nanosphere- [45], metal nanotube membrane- [46, 47], and template-based growth [48, 49]. Due to the uniform size and well-ordered arrays of ferroelectric nanostructures in these cases, the authors were able to measure the structural orientation by laboratory-based XRD, and determine their piezoelectric properties [47]. Most recently, novel techniques to synthesize unique structures of ferroelectrics have been employed. Zhu et al. [50] showed that using AAO templates could be the way to realize 5-nm sized ferroelectric ring structures which have a potential to demonstrate the vortex polarization suggested by Naumov. Evans et al. [51] fabricated self-assembled nanocapacitors with nanosized Pt electrodes by means of AAO.

Despite the multifarious activities for studies of nanostructured ferroelectrics, there have been no detailed reports which would give a clear understanding of domain structures in ferroelectric nanoislands. Since the ferroelectric properties and device performances are a function of the crystallographic orientations and the domain structures in ferroelectric thin films, a wide range of work has been devoted to understand the formation mechanisms of domains, the role of domain boundaries, and the controlling factors of the domain structure [52–54]. Jesse et al. [55] revealed by switching spectroscopy PFM (SS-PFM) at the nanometer scale that 90° domain boundaries can be nucleation centers for 180° polarization switching. Jia et al. [56] found in atomic-scale high-resolution TEM investigations of PZT films that charged 180° domain boundaries with head-to-head or tail-to-tail configuration of the electric dipoles are about 10 times broader than non-charged 180° boundaries. Thus, one may assume that the charged domain boundaries are more mobile than the uncharged ones, so that the 180° domains quickly grow in the polarization direction rather than showing lateral growth. However, more understanding of domain structures and their effects onto ferroelectric and piezoelectric properties in nanosized ferroelectrics is desirable, because the domain structures can be significantly changed by the different strain state in nanostructures compared to bulk or thin film ferroelectrics.

In this article, we discuss recent results of our work, mainly focusing on the fabrication methods of nanostructured ferroelectrics by e-beam lithography, self-assembly, laser interference lithography and template masks, as well as investigations of domain structures and their effects on the electrical properties. The domain structure was investigated by reciprocal space mapping techniques with synchrotron XRD, and the electrical properties were measured by AFM in the piezoresponse mode.

# **Experimental procedure**

Fabrication methods for nanostructured ferroelectrics

# e-beam lithography

Electron-beam lithography was performed in a scanning electron microscopy (SEM) system (JEOL, Japan) equipped with a beam blanker (Deben, UK) controlled by the Elphy Plus software (RAITH, Germany). MMA-MAA EL6 (Microchem, USA) films with a thickness of about 150 nm were used as e-beam sensitive resist. For patterning, an accelerating voltage of 29 kV, an e-beam spot size of 10 nm, and an area dose of 200  $\mu$ C/cm<sup>2</sup> were used. Platinum with a thickness of 100 nm was deposited by e-beam evaporation to both serve as etching mask and top electrode after developing the e-beam pattern. Then, rinsing in acetone for lift-off and in methanol, followed by deionized water was carried out. An inductively coupled plasma (ICP) advanced oxide etcher (AOE) (STS, UK) was used for the etching process. The Pt top electrode was etched by Ar and He with a flow of 15 and 10 sccm, respectively. Then, C<sub>4</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>2</sub>, Ar, and O<sub>2</sub> with 5, 10, 5, and 10 sccm, were used for the etching of 100-nm-thick PbTiO<sub>3</sub> patterns. Patterned samples were annealed in oxygen ambient at 600 °C for 1 h to remove any etching-related damages.

#### Chemical solution deposition (CSD)

For CSD, commercial PbTiO<sub>3</sub> polymeric precursors with a concentration of 0.3 mol/L and 10% excess PbO were dissolved in 2-methoxyethanol. The initial thickness was varied by varying the dilutions of the raw precursor in the range of 1:5 to 1:120. PbTiO<sub>3</sub> solutions were spin-coated on selected substrates at 5000 rpm for 30 s, and the obtained ultra-thin gel film was kept in air for at least 30 min. As-deposited films were pyrolized at 450 °C for 1 h in a box furnace; then the specimens were heated to high temperatures, for example, to 650–800 °C, and kept for 1 h to crystallize the pyrolized film. The sizes of the nanoislands were controlled by the initial thickness of the as-deposited film, by the annealing temperature and the annealing time.

# Ultra-thin anodic alumina mask (UTAAM)

Self-ordered UTAAM with uniform channels could be synthesized by two-step anodization of surface-finished pure aluminum plates [57, 58]. Initially, the aluminum plate was electropolished in a mixture of  $HClO_4$  and  $C_2H_5OH = 1:3$ , then the first anodization was carried out in electrolytes under a constant cell potential for 12 h.

After the first anodization, the anodic alumina was completely etched in chromic acid (mixture of H<sub>2</sub>CrO<sub>4</sub>, H<sub>3</sub>PO<sub>4</sub>, and deionized water). By making use of self-ordered structural arrays of pores on the aluminum surface, porous anodic alumina with uniform channels could be formed after the second anodization. For the use of porous alumina as a hard mask, the thickness of AAO was strictly controlled. For example, the thickness of the AAO after oxalic acid anodization was 400 nm in order to obtain an aspect ratio of about 6, which is sufficient for PZT deposition through the pores. After the AAO membrane was synthesized, the remaining aluminum and the barrier oxide laver at the pore bottom were removed. The key step for the fabrication of the UTAAM is polystyrene (PS) coating. The PS coating layer protects the ultra-thin hard mask from cracking during the etching step of the aluminum and the barrier layer. A solution of PS in CHCl<sub>3</sub> was spin-coated at 3000 rpm for 30 s, and then the solvent was evaporated at 80 °C. The rest of aluminum was etched in a mixed solution of  $CuCl_2 \cdot 2H_2O$ , deionized water, and HCl, followed by HNO<sub>3</sub>-rinsing for the complete removal of the residual copper on the surface of the mask. Barrier layers of AAO prepared in phosphoric, oxalic, and sulfuric acid were removed by 10 wt% of H<sub>3</sub>PO<sub>4</sub> at 45 °C for 60 min, 5 wt% of H<sub>3</sub>PO<sub>4</sub> at 30 °C for 30 min and 5 wt% of H<sub>3</sub>PO<sub>4</sub> at 30 °C for 15 min, respectively. During the etching of the barrier layer, the pore walls were protected from the etching solution due to the PS in the pores. The PS layer makes the UTAAM float on the deionized water and on the H<sub>3</sub>PO<sub>4</sub> solution due to its hydrophobic nature. The mask was taken out of the deionized water, floating it directly onto the substrate for deposition, and the PS was removed by CHCl<sub>3</sub> or oxygen plasma etching, resulting in a hard mask for deposition.

# Laser interference lithography (LIL)

First, an antireflection coating (ARC, XHRiC-11, Brewer Science, US) layer with a thickness of 150 nm was spincoated at 2500 rpm and baked at 180 °C for 60 s. Then a SiO<sub>2</sub> mask layer was deposited on the ARC by sputtering. A 180 nm thick negative photoresist (PR, TSMR-iN027, OHKA, Japan) was spin-coated at 600 rpm and pre-baked at 90 °C for 90 s. The PR was post-baked at 110 °C for 90 s after laser exposure. For interference lithography, a HeCd laser with a wavelength of 325 nm and a Lloyd's mirror interferometer were used. Two coherent beams generate periodic interference patterns on the PR, and the patterns were transferred to the SiO<sub>2</sub> mask layer by reactive ion etching (RIE). CHF<sub>3</sub> gas was used to open the SiO<sub>2</sub> layer and the subjacent ARC layer during the RIE step. The residual PR on top of the SiO<sub>2</sub> layer was also removed by O<sub>2</sub> plasma under operating conditions of 10 mTorr and 100 W in the RIE step. The lift-off process of the ARC layer was carried out by a RCA-1 (H<sub>2</sub>O:NH<sub>4</sub>OH: H<sub>2</sub>O<sub>2</sub> = 5:1:1) cleaning solution at 70 °C for 1 h in the lift-off step after pulsed laser deposition. By this lift-off of the ARC layer, the SiO<sub>2</sub> mask was simultaneously taken away from the substrate.

# Pulsed laser deposition (PLD)

The ferroelectric material was deposited onto the substrates by PLD with a KrF eximer laser ( $\lambda = 248$  nm) at an energy fluence of 400–600 mJ/cm<sup>2</sup>. The background pressure was below  $1 \times 10^{-6}$  Torr to give a high energy to the particles emanating from the target, so that they can reach the substrate surface through the SiO<sub>2</sub> and AAO masks.

#### Structural characterizations

# High-resolution synchrotron X-ray diffraction (XRD)

The domain structure of ferroelectric nanoislands was observed by high-resolution synchrotron XRD installed on the Huber six-circle diffractometer of 3C2 and 10C1 beam lines at the Pohang Light Source (PLS). The incident beam size was less than 1 mm<sup>2</sup> and the energy was 8 keV. Reciprocal space mapping was used for the investigation of the final domain structure in the ferroelectric nanoislands.

#### Transmission electron microscopy (TEM)

Conventional TEM investigations were carried out in a Philips CM 20 Twin (Philips, Netherlands) microscope, whereas HRTEM investigations were performed in a Jeol 4010 (Jeol, Japan) microscope. Some of the thin samples for TEM investigations were prepared by standard methods of mechanical polishing and ion milling. The other samples were prepared by FIB milling using a gallium ion beam in an FEI Nova 600 NanoLab system.

#### Functional characterization

#### Piezoresponse force microscopy (PFM)

Finally, PFM was employed for the measurement of the electrical properties, such as switching behavior, and piezoresponse of each nanoisland under an external electric field. A CP-Research atomic force microscope was used in contact mode to record the piezoelectric signals from the nanoislands, using a conducting AFM tip with an elastic constant of 2.5 Nm and a lock-in amplifier.

### **Results and discussions**

Non-tilted a-domains in nanostructured ferroelectrics

The final domain structure in ferroelectric thin films epitaxially grown on a suitable substrate is governed by misfit strain due to the lattice mismatch between the film and the substrate at growth temperature, and by transformation strain below the Curie temperature, followed by their relaxation via dislocation generation at the interface and via polydomain formation, respectively [59, 60]. In tetragonal ferroelectrics where c- and a-domains can coexist, 180° and 90° domain walls are formed at the phase transformation near the Curie temperature, to reduce the depolarizing field and the elastic energy. Adjacent a- and *c*-domains form coherent twin boundaries along {101} planes which can lead to a unique periodic c/a/c/a polydomain structure. In order to reveal the differences between the domain structures of a continuous film and a nanopattern, we fabricated an array of 734,400 discrete PbTiO<sub>3</sub> dots with a size of 100 nm by e-beam lithography and carried out the reciprocal space mapping. Figure 1 shows contour maps of the HL- and HK-planes near the PbTiO<sub>3</sub> (001) position in reciprocal space, obtained by help of a synchrotron X-ray source, presenting the domain structures of PbTiO<sub>3</sub>, viz. (a)–(c) of a 150 nm thick film, and (d)-(f) of a 100 nm sized nanopattern grown on Pt (100)/MgO (100). In the measurement, the MgO substrate (cubic lattice parameter, a = 4.213 Å) was used as an internal standard for the reciprocal lattice, assuming that the substrate is unstrained. The reciprocal space mapping of the HL-plane was conducted by keeping the Miller index k and varying h by  $\pm \Delta h$  every increment  $\Delta l$  in l. The  $PbTiO_3$  (001) and (100) reflections in the *HL*-plane maps correspond to c- and a-domains, respectively. In the continuous PbTiO<sub>3</sub> film, symmetric c-domains and 4-fold symmetric a-domains due to the tilting angle of 90° domain walls were observed in the HK-plane maps, which present the cross-sections of each c- and a-domain region as shown in Fig. 1c. A new type of ferroelastic 90° domain structure was observed in the center of a tilted a-domain region in the HL-plane of the reciprocal lattice map of 100 nm sized ferroelectric patterns, as shown in Fig. 1d. The HK-plane map of an *a*-domain in Fig. 1f clearly shows five peaksfour symmetric ones, and the central one, all corresponding to a-domains. This type of a-domain is aligned with its *c*-axis exactly parallel to the substrate plane, without the usual tilting angle which is required to maintain c/a/c/atwinned polydomain structures. Figure 2 shows the illustrations of two types of a-domains, (a) the normal twin a-domains ( $\Delta \omega \neq 0$ ) and (b) the non-tilted a-domains  $(\Delta \omega = 0)$ , where  $\Delta \omega = 90^{\circ} - 2 \tan^{-1}(a/c)$ , the latter being newly found in the reciprocal space maps of 100 nm



**Fig. 1** Contour plots of reciprocal space mapping of epitaxial PbTiO<sub>3</sub> **a**–**c** continuous film and **d**–**f** 100-nm sized nanopatterns obtained near PbTiO<sub>3</sub> (001) and (100) peaks. **a**, **d** *HL*-plane scan, **b**, **e** *HK*-plane scan of PbTiO<sub>3</sub> (001), and **c**, **f** *HK*-plane scan of PbTiO<sub>3</sub> (100)



Fig. 2 Schematics illustrating two types of a/c domains observed in 100 nm sized PbTiO<sub>3</sub> nanopatterns; **a** with tilted ( $\Delta \omega \neq 0$ ) and **b** with non-tilted *a*-domains ( $\Delta \omega = 0$ ). It should be noted that the structure shown in **b** is only a schematic in order to help understand the term "non-tilted *a*-domains" (From Ref. [58])

sized PbTiO<sub>3</sub> nanopatterns. Here, it should be noted that the sketch of a non-tilted *a*-domain shown in Fig. 2b is only a principle guide for understanding. In particular, the bounding ferroelectric domain walls should be uncharged from the point of energy minimization. Only under this condition the formation of non-tilted *a*-domains would be

reflections, corresponding to *c*-domains and *a*-domains, respectively (Reprinted with permission from Ref. [62]. Copyright 2009, American Institute of Physics)

energetically favorable. The habit plane of 90° a/c domain boundaries usually is (110) as shown in Fig. 2a, and 4-fold symmetric *a*-domains with 45° (110) twin boundaries are normally present in epitaxial continuous ferroelectric films and even in patterns of larger ferroelectric islands such as ones of a diameter of several micron [61]. However, as the size of the islands decreases further and reaches 100 nm, *a*-domains without tilting angle begin to be present [62]. Recently, Jia et al. [56] have shown that it is possible that charged domain boundaries exist due to the stabilization by aliovalent cations (i.e., Ti<sup>3+</sup> in PZT).

When the lateral size of a ferroelectric nanostructure decreases while the thickness is kept constant, the degree of misfit strain relaxation increases. The misfit strain relaxation is strongly dependent on the scaling ratio and occurs predominantly in the edge region of discrete patterns. In the nanopatterns, the misfit strain at the edge regions of the patterns is fully relaxed [4], resulting in the appearance of non-tilted *a*-domains. We had initially assumed that single-domain structures would appear in nanosized ferroelectrics. However, in reality the *a*-domain abundance rather increases and the described new type of *a*-domains appears in nanosize islands. It remains to be

explained how the new and the conventional *a*-domains are distributed in the small features. If the size of the ferroelectric islands decreases further, then there might be a critical size below which the periodic c/a/c/a domain structures with  $45^{\circ}$  twin boundaries are unfavorable. Therefore, one needs to prepare even smaller sized ferroelectrics and investigate their domain structures. However, it occurred to be difficult to fabricate ferroelectric nanostructures with a lateral size of only several tens of nanometers by a top-down method such as e-beam lithography. Thus, we employed a bottom-up approach, i.e., CSD, for the next step of the investigations.

# Piezoresponse and *a*-domains in nanostructured ferroelectrics

PbTiO<sub>3</sub> nanoislands with average size ranging from 450 to 55 nm were fabricated by CSD, simply controlled by dilution of solution, annealing time and temperature (H. Han et al., unpublished). Figure 3 shows representative AFM images of PbTiO<sub>3</sub> nanoislands with average size (x) and standard deviation ( $\sigma$ ) of (a) 52.2 nm, 45.8 nm;

(b) 102.3 nm, 67 nm; (c) 223.7 nm, 99.3 nm; and (d) 497 nm, 280.3 nm, respectively. The insets in the AFM images show the size distributions obtained from each image by a home-built image analysis software. The various sizes in Fig. 3 were obtained by changing the dilution rates of the solutions while other parameters were kept constant. The scaling ratios (f = lateral size/thickness) of all islands are between 3 and 6 which indicates that the lateral dimensions scale down with the thickness. For the comparison of the piezoresponse between ferroelectric nanostructures and continuous films, we also fabricated PbTiO<sub>3</sub> thin films with a thickness of 10, 20, 40, and 80 nm by PLD. XRD analysis confirmed that all the PbTiO<sub>3</sub> nanoislands were grown epitaxially on Pt (100)/MgO (100). We measured the piezoresponse of nanoislands with sizes ranging from less than 50 nm to several hundreds of nanometers by probing all samples fabricated by different degrees of dilution. Figure 4 shows (a) topography and (b) piezoresponse image of nanoislands grown by CSD. In the as-grown state, the islands showed randomly poled states. Piezoresponse was detected even in less than 20 nm sized nanoislands, although some of them showed no signal



**Fig. 3** AFM images of PbTiO<sub>3</sub> nanoislands with average size (*x*) and standard deviation ( $\sigma$ ) of **a** 52.2 nm, 45.8 nm; **b** 102.3 nm, 67 nm; **c** 223.7 nm, 99.3 nm; and **d** 497 nm, 280.3 nm, respectively. Insets in each image are histograms of the size distribution obtained by an image analysis software applied to the AFM images



**Fig. 4** PFM measurement. **a** Topography and **b** piezoresponse image obtained from PbTiO<sub>3</sub> nanoislands. **c** Piezoresponse as a function of thickness of PbTiO<sub>3</sub> nanoislands (*solid squares*) and thin films (*open squares*) measured by local hysteresis analysis on each nanoisland and film

as shown in Fig. 4b. Local hysteresis measurements were carried out in each island by applying an external electric field from -5 to 5 V, and the amplitudes of switching curves were collected for the statistical analysis. From hysteresis measurement, we found that the coercive fields were independent from the size but the piezoresponse showed an interesting tendency shown in Fig. 4c. Even though the thickness of the nanoislands decreased, there

was no reduction of the piezoelectric response, but even a slight increase at a thickness down to 25 nm (solid squares in Fig. 4c). When the thickness of the nanoislands was below 20 nm, the piezoresponse signal converged to zero and finally no signal was detected at all in 10 nm thin islands. Piezoresponse as a function of the lateral size of the nanoislands showed a tendency similar to that of the thickness dependence. In contrast, a systematic reduction of the piezoresponse with the decrease in thickness was observed in continuous thin films (open squares in Fig. 4c). Thin films with a thickness of 80 nm showed a similar value of the piezoresponse as islands of smaller thickness. No piezoresponse was also detected in 10-nm-thick films. Bühlman and Nagarajan have shown that the piezoresponse of patterned ferroelectrics could increase [28, 30]. But the sizes they observed were in the range of several hundreds of nanometer and they explained the behavior in a different way. Nagarajan proved that the switching of 90° domains can contribute to an increase of piezoresponse in patterned structures due to the significantly reduced substrate clamping [28]. Bühlman explained that vanishing 90° domains mainly lead to an increase of piezoresponse [30].

We performed investigations of the domain structure in CSD-grown islands by reciprocal space mapping using high-resolution synchrotron XRD. Figure 5 shows reciprocal space maps of *HL*-plane mesh scans [(a)-(d)] and *HK*-plane mesh scans of *a*-domains [(e)-(h)] of PbTiO<sub>3</sub> nanoislands with a mean lateral size and thickness of (a) 490 nm, 95 nm; (b) 230 nm, 55 nm; (c) 105 nm, 35 nm; (d) 52 nm, 13 nm, respectively. The HL-plane mesh scan of the islands with the lateral size and thickness of 490 and 95 nm and the HK-plane mesh of a-domains show distinctly separated *c*-domains, 4-fold symmetric *a*-domains and a very small amount of non-tilted a-domains. As the size of the islands decreases further, however, it appears that the peaks of the a-domain regions become closer to that of the *c*-domain region. In the case of nanoislands with a lateral size of 52 nm and a thickness of 13 nm, no *a*-domain peaks with off angle from the substrate normal are observed in the contour map of the HL-plane. The HK-plane mesh scans clearly show that with decreasing size the *a*-domain structures convert from 4-fold symmetric twinned a-domains to one symmetric peak. Unlike the center peak from the non-tilted a-domain in the HK-plane mesh of Fig. 1f, it is assumed that the center peaks in Fig. 5e-h came from both the shoulder of the *c*-domain and the 4-fold symmetric *a*-domains as well as the simple peak broadening of XRD intensity along the orthogonal direction due to the very thin layer structure as clearly seen in the *HL*-mesh of Fig. 5a–d.

The exact amounts of *a*- and *c*-domains were obtained by rocking scans along the  $\chi$ -direction while the angles  $\omega$ and  $2\theta$  were fixed. This was performed for  $2\theta$  values



**Fig. 5** Contour plots of reciprocal space mapping of **a**–**d** *HL*-plane scan near PbTiO<sub>3</sub> (001) and (100) reflections and **e**–**h** *HK*-plane scan of PbTiO<sub>3</sub> (100) reflections of PbTiO<sub>3</sub> nanoislands with average size of **a**, **e** 490 nm; **b**, **f** 230 nm; **c**, **g** 105 nm; and **d**, **h** 52 nm, respectively

**Fig. 6 a** *c*-domain abundance as a function of thickness of PbTiO<sub>3</sub> nanoislands (*solid squares*) and thin films (*open squares*), **b** Dependence of the abundances of non-tilted *a*domains (*open circles*) and twinned *a*-domains (*solid circles*) as a function of the lateral size of PbTiO<sub>3</sub> nanoislands



corresponding to the Bragg angle (001) and (100), respectively, calculating the intensity of each of the domains, e.g.,  $\alpha = \Sigma I(001)/\Sigma \{I(001) + I(100)\}$  for the *c*-domain abundance. Figure 6a shows the *c*-domain abundance as a function of the thickness of PbTiO<sub>3</sub> nanoislands (solid squares) and continuous films (open squares). As the thickness of both nanoislands and thin films decreases, the degree of *c*-axis orientation increases gradually. As the size of nanoislands and thin film decreased, the periodic *c/a/c/a* domain structures with twin boundaries became unfavorable, and single *c*-domain structures became stable. In thin films, it is known that 90° domains play a role in reducing the residual misfit strain and also influence the electrical properties. Kim et al. [31] have shown that the piezoelectric coefficient increased as the thickness of epitaxial PbTiO<sub>3</sub> films on Pt bottom electrode decreased down from 200 to 60 nm due to the reduced *a*-domains. As the thickness of the film decreases further to 10 nm, the amount of 90° domains decreases and the films undergo a strong substrate clamping and domain pinning by depolarization field [10]. This can be one of the reasons for the decrease of the piezoresponse at decreasing thickness, despite the high tetragonality and reduction of *a*-domains in thin films. In the nanoislands, *a*-domains decreased with decreasing scales and the tetragonality is smaller than that of thin films. Therefore, it is supposed that the increase of piezoresponse is principally due to the reduced strain and substrate clamping, as well as the reduced *a*-domains in nanostructured ferroelectrics. The sharp decrease in the piezoresponse of islands with a thickness below 20 nm is probably due to the increase in the relative volume of distorted regions affected by defects such as misfit dislocations [24].

By the structural analysis and electrical measurements, the abundance of *a*-domains and the piezoresponse were investigated as a function of the size of the ferroelectric nanostructures. However, the sizes of the ferroelectric nanostructures and their standard deviations were too wide to be accurate and practical. Another fabrication method to achieve rather uniformly sized ferroelectric nanostructures is still required. In the next session, we introduce a novel method for fabrication of even smaller ferroelectric nanocapacitors by means of a stencil mask.

Domain structures and piezoelectric properties in ferroelectric nanocapacitors

In the previous sections, ferroelectric nanostructures with various sizes were prepared and relations between their domain structures and piezoelectric properties were discussed. The reduction of substrate clamping effects and strains lead to the presence of non-tilted *a*-domains and an increase of the piezoresponse in the nanostructures. In this section, we would like to report on a novel method for the fabrication of ferroelectric nanocapacitors and on the investigation of their domain structures.

By ultra-thin anodic alumina masks (UTAAM), 64-nm sized Pt/Pb( $Zr_{0.2}Ti_{0.8}$ )O<sub>3</sub>/Pt ferroelectric nanocapacitors were prepared. A two-step anodization process was employed to obtain well-defined masks with very small thickness. Figure 7 shows the evolution of current as a function of anodization time for (a) the first anodization and (b) the second anodization under the conditions of 0.3 M of oxalic acid at 40 V, at room temperature. Figure 8a shows a scheme of the deposition procedure. The AAO mask was transferred to the substrate of choice, and then the Pb( $Zr_{0.2}Ti_{0.8}$ )O<sub>3</sub> material was deposited, e.g., onto Pt (100)/MgO (100) substrate by PLD. Figure 8b and inset

show representative SEM images of an ultra-thin AAO mask with a pore size of 30 nm synthesized by anodization in sulfuric acid. The AAO mask is placed on the substrate. The inset shows a magnified image. Deposition was carried out at high temperature, for example, at 650 °C, which makes it possible to enhance the mobility of the adsorbed particles on the surface of the mask avoiding the closure of the pore mouth and also to grow epitaxial Pb(Zr<sub>0.2</sub>Ti<sub>0.8</sub>)O<sub>3</sub> nanoislands directly, without post-annealing. The deposition pressure was below  $10^{-6}$  Torr. The high-temperature deposition and the excellent stability of the AAO mask enabled us to deposit platinum for top electrodes by sputtering through the holes again. The SEM image in Fig. 8c shows the Pt/PZT/Pt nanocapacitors with size of 64 nm and interpore distance of 104 nm, which is equivalent to a potential memory density of 68 Gb/inch<sup>2</sup>. The mask was partly removed after the deposition process to visualize the nanoislands remaining on the substrate. The pore mouths were still open even after the PLD and Pt sputtering due to the highly mobilized particles at growth temperature, which means that it is possible to realize multilayered nanostructures using the AAO mask. Another advantage of the growth of Pb(Zr<sub>0.2</sub>Ti<sub>0.8</sub>)O<sub>3</sub> nanoislands at elevated growth temperature is the avoidance of deformation of the nanoislands during the post-crystallization process if the deposition would be performed at room temperature. Nanoislands deposited at room temperature were completely fragmentized by a high-temperature annealing treatment, although the deposition was carried out successfully and the mask preserved its original form (not shown here). Deformations of nanostructures by high-temperature treatment were reported before [33, 63], mainly due to densification of the amorphous islands deposited at room temperature resulting in shrinkage of the nanostructures during the crystallization process. In our case, the 64-nm sized nanoislands are too tiny to be stable under such harsh conditions. Therefore, the application of a high-temperature stable AAO mask is significant in this case.

Cross-section TEM images confirmed that the average height of the nanocapacitors was 40 nm as shown in

Fig. 7 Current flow as a function of anodization time for **a** the first anodization for 12 h and **b** the second anodization for 2 min using  $H_2C_2O_4$  electrolyte and 40 V. The cartoon in **a** illustrates the formation procedure of porous AAO from a surface-finished aluminum plate



Fig. 8 a Schematics showing the PLD process of Pb(Zr<sub>0.2</sub>Ti<sub>0.8</sub>)O<sub>3</sub> material through the ultra-thin AAO mask onto a Pt bottom layer on MgO substrate, followed by Pt sputter deposition and lift-off of the mask leaving the PZT nanocapacitors on the substrate. b SEM images of the ultra-thin AAO mask and its magnified image. c PZT nanocapacitor arrays with remaining AAO mask to visualize the same ordering state of the PZT capacitors (From Ref. [58])



Fig. 9a. High-resolution TEM images proved that the nanocapacitors have a dome-like shape and a singlecrystalline quality (Fig. 9b). An entire Pt/PZT/Pt capacitor is shown in Fig. 9c. Figure 9d and e show the electron diffraction analysis indicating the epitaxial nature of both the Pt bottom electrode and the PZT nanoislands. Extended arrays of PZT nanocapacitors with high quality made it possible to investigate the structure and properties indepth. It was confirmed by  $\theta$ -2 $\theta$  and in-plane  $\varphi$ -scans that the nanocapacitors were grown epitaxially. The nanocapacitors preserved a high tetragonality of 1.048. The domain structures within the nanocapacitors were characterized by reciprocal space mapping near Pb(Zr<sub>0.2</sub>Ti<sub>0.8</sub>)O<sub>3</sub> (001) and (100) peaks using high-resolution synchrotron XRD as shown in Fig. 10. Three domain configurations, viz. a major fraction of c-domains (90%), 4-fold symmetric a-domains (7%) and symmetric a-domains (3%) of minor fractions corresponding to PZT (001) and PZT (100), respectively, are present in the XRD contour map of the HL-plane. The symmetric a-domain present in the center of the 4-fold symmetric a-domains with the same value of the reciprocal lattice coordinate h denotes that it has the same lattice parameter along the substrate normal direction and is exactly aligned parallel to the plane of the underlying bottom layers with a tilting angle of  $\Delta \omega = 0$ . As mentioned in the previous section, this type of a-domains, i.e., non-tilted a-domains, can be attributed to a high degree of misfit strain relaxation in nanostructured ferroelectrics [4, 62, H. Han et al., unpublished]. The nontiled a-domains also were observed in Pb(Zr<sub>0.2</sub>Ti<sub>0.8</sub>)O<sub>3</sub> nanoislands epitaxially grown on Nb-doped SrTiO<sub>3</sub>.

However, no twinned *a*-domains were detected in the STO case at all.

In order to investigate the electrical properties and contribution of domains to the piezoresponse, PFM measurements were carried out using conductive AFM tips. Figure 11 shows (a) the AFM topography image and (b) the PFM piezoresponse image of PZT nanoislands without Pt top electrodes. The as-grown PZT nanoislands contain a-domains as shown by horizontal lines in the c-domain matrix in the piezoresponse image, confirming the observations by XRD reciprocal space mapping. Effects of the high degree of misfit strain relaxation and the presence of a-domains in the PZT nanocapacitors were revealed by local hysteresis measurements. The latter were performed on individual PZT nanocapacitors. The piezoelectric constant  $d_{33}$  of these PZT nanocapacitors was about 100 pm/V, comparable to the theoretical value, while that of PZT patterns with a size of 20 µm, which can substitute for continuous ferroelectric structures, was about 70 pm/V as shown in Fig. 12a. The increase of the piezoelectric constant due to the high degree of strain relaxation and *a*-domain switching by an electric field in 1  $\mu$ m size PZT patterns has already been reported by Nagarajan [28]. Our 64-nm sized PZT nanocapacitors also showed an increase of piezoelectric properties due to the reduced misfit strain. Moreover, a-domain switching occurred in the PZT nanocapacitors as shown in Fig. 12b. By sweeping the voltage from -5 to 5 V first, a piezoelectric constant of about 120 pm/V was obtained, and then it decreased to 100 pm/V during the second voltage sweeping. Most probably, the a-domains were switched during the first hysteresis run



Fig. 9 a Cross-sectional TEM image of PZT nanoislands grown on Pt/MgO (001). b High-resolution TEM image of a single PZT nanoisland on Pt/MgO (001). c Cross-sectional TEM image of

Pt/PZT/Pt nanocapacitors. **d**, **e** Electron diffraction patterns obtained at the interfaces of Pt/MgO(001) and PZT/Pt(001), respectively (From Ref. [58])



**Fig. 10** Contour plots of reciprocal space mapping of  $Pb(Zr_{0.2}Ti_{0.8})O_3$  nanoislands. **a** *HL*-plane scan of  $Pb(Zr_{0.2}Ti_{0.8})O_3$  (001) and (100) reflections, **b** *HK*-plane scan of (100) reflection, and

**c** *HK*-plane scan of (100) reflection corresponding to *a*-domain and *c*-domain, respectively (From Ref. [58])





Fig. 12 Local piezoelectric hysteresis loops obtained from a Pb( $Zr_{0.2}Ti_{0.8}$ )O<sub>3</sub> nanocapacitors (*square*) and 20-µm sized pattern (*circle*). b Hysteresis loops obtained from Pb( $Zr_{0.2}Ti_{0.8}$ )O<sub>3</sub> nanocapacitors by 1st hysteresis run (*circle*) and 2nd hysteresis run (*square*) (From Ref. [58])

resulting in an increase of the piezoelectric constant, and then the value returned to its original value due to the elimination of switchable *a*-domains during the second hysteresis run. We are not sure whether the non-tilted *a*-domains were switched under the electric field at this moment. However, as Jesse et al. [55] reported, *a*-domain boundaries can serve as nucleation sites for 180° switching and generally facilitate good switching properties.

## Ferroelectric nanodisks and nanorings

There are still requirements for large area arrayed various ferroelectric nanostructures with narrow size distribution for applying them to practical devices. By LIL we were able to obtain wafer-scale arrays of  $Pb(Zr_{0.2}Ti_{0.8})O_3$  nanodisks and nanorings epitaxially grown on SrRuO<sub>3</sub> (001)/SrTiO<sub>3</sub> (001) [63]. The fabrication of ferroelectric nanodisks and nanorings consists of two steps, viz. (i) deposition at room temperature and (ii) post-annealing for crystallization. PLD was performed in vacuum, for example at a background pressure of  $10^{-6}$  Torr in order to

provide high energy to the PZT particles so that they can reach the bottom of the holes. Post-annealing was carried out at 650-700 °C for 1 h in PbO atmosphere to compensate for losses of volatile lead. The amount of amorphous PZT deposited into the patterned holes and its crystallization temperature determine the shape and size of the final PZT structures. Figure 13 shows 350 nm sized (a)  $SiO_2$  hole patterns, (b)  $Pb(Zr_{0,2}Ti_{0,8})O_3$  nanodisks, and (c)  $Pb(Zr_{0.2}Ti_{0.8})O_3$  nanorings fabricated by the two step process, viz. deposition at room temperature and crystallization. The crystallization into the ferroelectric phase nucleates at the edge regions near the free surfaces as well as at the interfaces of the nanodisks, and propagates gradually to the central part. The densification of the amorphous PZT with crystallization proceeds by a migration of some amorphous material to the crystallized ferroelectric during post-annealing, promoted by a high thermal energy as shown in the TEM image in Fig. 14. Accordingly, extended deposition enabled us to obtain ferroelectric nanodisks while nanorings could be obtained by only the condition of short deposition and high annealing



**Fig. 13** Representative SEM images of **a** patterned SiO<sub>2</sub> mask by LIL combined with RIE process, **b**  $Pb(Zr_{0.2}Ti_{0.8})O_3$  nanodisks, and **c**  $Pb(Zr_{0.2}Ti_{0.8})O_3$  nanorings with size of 350 nm and large area uniform arrays (From Ref. [63])

temperature (700 °C). Crystallized nanodisks and nanorings proved to be ferroelectric and showed a stable switching behavior under external field by PFM. Nanodisks and nanorings are interesting structures because a toroidal ordering of polarization was predicted to occur in those structures with a diameter of a few nanometers [31]. Zhu et al. [50] have achieved an internal diameter of 5 nm in



**Fig. 14** Cross-sectional TEM image of  $Pb(Zr_{0.2}Ti_{0.8})O_3$  nanodisks grown on SrRuO<sub>3</sub> bottom electrode and SrTiO<sub>3</sub> substrate. By extended deposition and 650 °C annealing, edge and bottom of the nanodisks were crystallized and densified (guided with *dashed line*) (From Ref. [63])

PZT nanorings using an anodic alumina template and a conformal solution deposition through it. The LIL process combined with PLD so far provides rather large ferroelectric nanodisks and nanorings. However, as soon as laser sources with shorter wavelength become available for the LIL process, one will be able to achieve smaller sized ferroelectric nanodisks and nanorings on wafer-scale sizes.

# Conclusions

In order to investigate the size effect and the domain structures in ferroelectric nanostructures, we fabricated PbTiO<sub>3</sub> and  $Pb(Zr_{0,2}Ti_{0,8})O_3$  nanoislands and nanocapacitors employing a top-down method (e-beam lithography), a bottom-up technique (chemical solution deposition), and selective growth through stencils. The latter were obtained by LIL and by an ultra-thin anodic alumina process. The 100nm sized PbTiO<sub>3</sub> islands were achieved by e-beam lithography for X-ray diffraction analysis. The CSD method enabled us to grow epitaxial PbTiO<sub>3</sub> nanoislands on Pt (001)/ MgO (001) and vary the size of ferroelectric nanoislands between 450 and 55 nm by controlling the dilution of the solutions. Using AAO masks and pulsed-laser deposition, we were able to synthesize 64-nm sized ferroelectric nanoislands and multilayered Pt/PZT/Pt nanocapacitors on MgO (001) and Nb-doped SrTiO<sub>3</sub> substrates, taking advantage of the good thermal stability of this ceramic stencil material. LIL is a most useful approach to obtain uniform wafer-scale arrays of ferroelectric nanodisks and nanorings by controlling the experimental conditions. The domain structure of all these ferroelectric nanostructures was characterized by reciprocal space mapping using high-resolution synchrotron XRD and AFM in the piezoresponse mode. When the size of the nanostructured ferroelectrics decreases down to 100 nm with scaling ratio of 1, a new type of *a*-domains with a tilting angle  $\Delta \omega = 0$  was observed and thus periodic c/a/c/apolydomain structures became unstable. This appearance of non-tilted *a*-domains is a clear evidence of misfit strain relaxation in the ferroelectric nanostructures. The piezoelectric properties could be enhanced by the reduced a-domains and substrate clamping effects in nanostructured ferroelectrics. PZT nanocapacitors with 64-nm size showed three domain configurations of *c*-domains, tilted *a*-domains, and non-tilted a-domains. PFM measurements confirmed that the piezoelectric constant of the nanocapacitors was higher than that of a ferroelectric continuous medium. a-domains could be switched under an external electric field due to the reduced misfit strain in nanostructured ferroelectrics. The twinned 90° domain boundaries observed in PFM images also can play a role as nucleation sites of 180° domain switching and can be a possible reason for the increase of the piezoelectric properties in PZT nanocapacitors. The questions "how small can nanostructured ferroelectrics become while still preserving ferroelectricity" and "will a singledomain state be stable in such nanostructures" have still not yet been answered conclusively. To investigate these questions, further new approaches for the fabrication of even smaller-sized ferroelectrics will be required.

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